

09942052-043502

PATENT  
Docket No. 511582002800

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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*Beverly S. Carter*  
Beverly S. Carter

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Arthur B. RAITANO et al.

Serial No.: 09/942,052

Filing Date: August 28, 2001

For: NUCLEIC ACIDS AND  
CORRESPONDING PROTEINS  
ENTITLED 85P1B3 USEFUL IN  
TREATMENT AND DETECTION OF  
CANCER

Examiner: Unknown

Group Art Unit: 1645

COPY OF PAPERS  
ORIGINALLY FILED

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

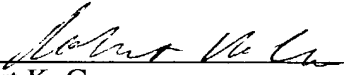
In complete response to the enclosed Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f) dated September 26, 2001, attached please find:

- ☒ The original Declaration (combined with Power of Attorney) signed by the inventors. Please see attached Fee Transmittal For FY 2001(PTO/SB/17) for charging the fee as set forth in 37 C.F.R. § 1.16(e).
- ☐ A declaration signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e).
- ☐ A Power of Attorney and Prosecution by Assignee Under 37 C.F.R. § 3.71.
- ☐ A Declaration of Small Entity Status.
- ☒ A Petition for Extension of Time.
- ☐ A verified English translation of the application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k). Please see attached Fee Transmittal Form FY 2001.
- ☐ A preliminary amendment.
- ☐ Other: :

The Assistant Commissioner is hereby authorized to charge the total fee of \$120.00 (\$65.00 for declaration surcharge and \$55.00 for extension fee) and any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 03-1952**. The Fee Transmittal For FY 2001 (PTO/SB/17) is enclosed (in duplicate) for that purpose.

Respectfully submitted,

Dated: December 18, 2001

  
Robert K. Cerpa  
Registration No. 39,933

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555 West Fifth Street  
Suite 3500  
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09/942,052, 04436,072

PATENT

#8 Docket No. 511582002800

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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*Tami M. Procopio*  
Tami M. Procopio

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Arthur B. RAITANO, *et al.*

Serial No.: 09/942,052

Filing Date: 28 August 2001

For: NUCLEIC ACIDS AND CORRESPONDING  
PROTEIN ENTITLED 85P1B3 USEFUL IN  
TREATMENT AND DETECTION OF  
CANCER

Examiner: To Be Assigned

Group Art Unit: 1645

**SUBMISSION OF SUBSTITUTE DRAWINGS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Attached please find 22 sheet(s) of substitute drawings in connection with the above-identified application.

Respectfully submitted,

Dated: April 26, 2002

By: *Kate H. Murashige*  
Kate H. Murashige  
Registration No. 29,959

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,052	08/28/2001	Arthur B. Raitano	511582002800

Robert K. Cerpa  
Morrison & Foerster LLP  
35th Floor  
555 W. 5th Street  
Los Angeles, CA 90013

#3

CONFIRMATION NO. 6518

## FORMALITIES LETTER



\*OC000000006792818\*

Date Mailed: 09/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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01 50:205 35.00 28

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE